

K-rings and the Serre-Swan Theorem via Projection Vector Bundles

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Abstract

A slightly non-standard treatment of the elementary theory of vector bundles in terms of projection operators. We see how the K -ring is defined in this context and how the Serre-Swan theorem arises in a formal manner from an isomorphism between simpler categories.

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1 Projection Vector Bundles and the K -semiring

Consider the category \mathcal{L} of finite-dimensional normed real vector spaces with linear maps as arrows. We first observe that we can put a topological structure on spaces of arrows between any two objects $V, W \in \mathcal{L}$:

Definition 1.1. *The operator norm on the spaces $\mathcal{L}(V, W)$ is defined by:*

$$\|\alpha\|_{op} := \sup\{\|\alpha v\| : (v \in V) \wedge (\|v\| = 1)\}$$

This induces a topology on the subspace $\mathcal{P}(V)$ of idempotent arrows (projection operators) on an object $V \in \mathcal{L}$:

$$\mathcal{P}(V) := \{\pi \in \mathcal{L}(V, V) : \pi^2 = \pi\}$$

The standard definition of a vector bundle over X starts with a continuous family of vector spaces over X and insists that this family is locally trivial. The following definition coincides (up to the isomorphisms in remark 4 below) with the standard one when X is a compact Hausdorff space.

Definition 1.2. A (projection) vector bundle over a topological space X is a continuous map

$$\pi : X \rightarrow \mathcal{P}(V)$$

Definition 1.3. A morphism between vector bundles $\pi : X \rightarrow \mathcal{P}(V)$ and $\eta : X \rightarrow \mathcal{P}(W)$ is a continuous map

$$\Phi : X \rightarrow \mathcal{L}(V, W)$$

such that for all $x \in X$ we have that $\eta_x \circ \Phi_x \circ \pi_x = \Phi_x$. Composition is defined using composition in \mathcal{L} :

$$(\Phi \circ \Psi)_x = \Phi_x \circ \Psi_x$$

The identity map on the object π is the arrow defined by π . Indeed vector bundles over X form a category $VB(X)$.

Remark. 1. This is the idempotent completion of a category we will identify in section 3.

2. All projection vector bundles are locally trivial, but the converse only holds when X is a compact Hausdorff space.
3. We inherit direct sums, tensor products, images, coimages etc. from \mathcal{L} . There is a little to check here (namely that the result gives a continuous map).
4. We do not rule out oblique projections. However if π_x, ν_x are two objects of $VB(X)$ with the same image (i.e. that $\pi_x \nu_x = \nu_x$ and $\nu_x \pi_x = \pi_x$), then there is the isomorphism:

$$\pi_x \begin{array}{c} \xrightarrow{\pi_x} \\ \xleftarrow{\nu_x} \end{array} \nu_x$$

Example 1.1. The tangent bundle over S^2 :

$$\begin{aligned} S^2 &\rightarrow \mathcal{P}(\mathbb{R}^3) \\ \mathbf{x} &\mapsto \text{proj}_{\mathbf{x}^\perp} = (\mathbf{y} \mapsto \mathbf{y} - (\mathbf{y} \cdot \mathbf{x})\mathbf{x}) \end{aligned}$$

Example 1.2. The trivial 2-dimensional bundle over S^2 :

$$\begin{aligned} S^2 &\xrightarrow{id_2} \mathcal{P}(\mathbb{R}^2) \\ \mathbf{x} &\mapsto 1_{\mathbb{R}^2} \end{aligned}$$

Remark. But why didn't we choose to use a larger ambient space? That is to say, why not define the trivial bundle as:

$$\begin{aligned} S^2 &\xrightarrow{id'_2} \mathcal{P}(\mathbb{R}^3) \\ \mathbf{x} &\mapsto \text{proj}_{\mathbf{e}^\perp} = (\mathbf{y} \mapsto \mathbf{y} - (\mathbf{y} \cdot \mathbf{e})\mathbf{e}) \end{aligned}$$

where $e = (1, 0, 0)$? However, the two options turn out to be isomorphic:

$$\begin{aligned} id'_2 &\rightarrow id_2 \\ X &\rightarrow \mathcal{L}(\mathbb{R}^3, \mathbb{R}^2) \\ \mathbf{x} &\mapsto proj_{\mathbf{e}_\perp} \end{aligned}$$

which has as inverse the inclusion of \mathbf{e}_\perp into \mathbb{R}^3 .

The next two examples tell us the same information about the topological space X but are not isomorphic as vector bundles.

Example 1.3. The Möbius bundle:

$$\begin{aligned} S^1 &\rightarrow \mathcal{P}(\mathbb{R}^2) \\ \theta &\mapsto proj_{\langle \frac{\theta}{2} \rangle} \end{aligned}$$

Visually, this is a line in \mathbb{R}^2 rotating about the origin at ‘half speed’.

Example 1.4. The 2-dimensional Möbius bundle:

$$\begin{aligned} S^1 &\rightarrow \mathcal{P}(\mathbb{R}^3) \\ \theta &\mapsto proj_{\langle \frac{\theta}{2}, (0,0,1) \rangle} \end{aligned}$$

Visually, this is a plane in \mathbb{R}^3 rotating about a line through the origin at ‘half speed’.

The second example doesn’t tell us any more than the first about the topological structure of $X = S^1$ but simply ‘carries around’ one extra dimension. Surely we would want to identify two bundles which differ only by such extraneous dimensions? Thus we arrive at the definition of reduced K -semiring:

Definition 1.4. *The reduced K -semiring $K(X)$ of a topological space X is the set of (topological) equivalence classes of vector bundles over X with direct sum as multiplication and tensor product as multiplication. Two vector bundles π, η are topologically equivalent precisely when:*

$$\pi \approx \eta \iff (\exists m, n) \pi \oplus id_n = \eta \oplus id_m$$

Remark. In particular, if we have a vector bundle $\pi : X \rightarrow \mathcal{P}(V)$ and a 1-dimensional subspace $W \subseteq V$ such that π_x fixes W for all x , then:

$$\pi \approx \pi|_{W^\perp}$$

so examples 1.3 and 1.4 are conflated.

2 Quotients and the K -ring

Recall that all objects in $VB(X)$ have an underlying linear endomorphism. The following lemma tells us when the pointwise subtraction is again an object of $VB(X)$.

Lemma 2.1. *If π_1, π_2 are objects in $VB(X)$ such that:*

$$\pi_2 \leq \pi_1 \iff \pi_2 \pi_1 = \pi_2$$

holds then the difference $\pi_1 - \pi_2$ is again an object of $VB(X)$.

Proof.

$$\begin{aligned} (\pi_1 - \pi_2)(\pi_1 - \pi_2) &= \pi_1 - \pi_1 \pi_2 - \pi_2 \pi_1 + \pi_2 \\ &= \pi_1 - \pi_2 - \pi_2 + \pi_2 \\ &= (\pi_1 - \pi_2) \end{aligned}$$

□

Definition 2.1. *The quotient vector bundle of π_1 by π_2 (for $\pi_2 \leq \pi_1 \iff \pi_2 \pi_1 = \pi_2$) is the projection $(\pi_1 - \pi_2)$. The complement π^\perp of π is the quotient $\pi^\perp := (id - \pi)$.*

Definition 2.2. *The K -ring $K(X)$ of a topological space X is the K -semiring of X with the additive inverse of an object π given by the complement:*

$$\pi \oplus \pi^\perp \cong id_n \approx id_0$$

3 Idempotent Completions and the Serre-Swan Theorem

Consider the category $C(X)\text{-Mod}$ where $C(X)$ is the ring of continuous functions from X to \mathbb{R} . We begin by recording the isomorphism which is the core of the Serre-Swan theorem.

Lemma 3.1. *There is an isomorphism of categories between the full subcategory $\mathcal{C}_\mathbb{T}$ of $C(X)\text{-Mod}$ whose objects are free $C(X)$ -modules and the full subcategory $TB(X)$ of $VB(X)$ whose objects are the trivial vector bundles.*

Proof. Every trivial vector bundle is of the form:

$$\begin{aligned} X &\xrightarrow{id_n} \mathcal{P}(\mathbb{R}^n) \\ \mathbf{x} &\mapsto \mathbf{1}_{\mathbb{R}^n} \end{aligned}$$

So we have a bijection on objects:

$$\begin{aligned} TB(X) &\xrightarrow{\phi} \mathcal{C}_\mathbb{T} \\ id_n &\mapsto C(X)^n \end{aligned}$$

The set of maps from id_n to id_m is $Top(X, \mathcal{L}(\mathbb{R}^n, \mathbb{R}^m))$ which is in bijection with $C(X)$ -module homomorphisms between $C(X)^n$ and $C(X)^m$:

$$\begin{aligned} Top(X, \mathcal{L}(\mathbb{R}^n, \mathbb{R}^m)) &\cong Top(X, \mathbb{R}^{n \cdot m}) \\ &\cong Top(X, \mathbb{R})^{n \cdot m} \\ &\cong C(X)^{n \cdot m} \\ &\cong C(X)\text{-Mod}(C(X)^n, C(X)^m) \end{aligned}$$

This isomorphism takes

$$(\Phi(x))_{ij} \mapsto (\Phi_{ij})(x)$$

and so is clearly functorial. □

Now the idempotent completion can be characterised as the closure under retracts of the image of the Yoneda embedding in the presheaf category. The following two statements should be clear:

- The idempotent completion of \mathcal{C}_T is the full subcategory $C(X)\text{-Mod}_{proj}^{f.g.}$ of $C(X)\text{-Mod}$ whose objects are the finitely generated projective modules.
- The idempotent completion of $TB(X)$ is the category $VB(X)$. (Note that because every object has a complement it is the retract of a trivial bundle.)

So we have shown that:

Theorem 3.1. (*Serre-Swan*) *There is an equivalence of categories:*

$$C(X)\text{-Mod}_{proj}^{f.g.} \simeq VB(X)$$

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